

Agroforestry Centre





Designing a Framework for analyzing the capacity needs of Grassroots Rural Institutions

Clinton Muller, George Oketch, Rick Kamugisha, Douglas Bwire, Verrah Akinyi, Siddharth Vishwanathan, Joseph Tanui, Jeremias Mowo

Enabling Rural Transformation and Grassroots Institutional Building for Sustainable Land Management and Increased Incomes and Food Security

Issues in Capacity development

 Few mechanisms for cooperation among communities and district institutions (local government, development organizations) on land management:

duplication and contradictions are prevalent
opportunity lost for integrated solutions

Poor levels of market integration (low awareness of opportunities for market access & value capture)

Potential of District as nexus for development & innovation is very under-exploited

ew examples of successful scaling up processes

Addressing Institutional Challenges

Ensuring participation, ownership and demanddriven development

- Linking biophysical, governance and socioeconomic factors in formulating solutions (need for multi-institutional strategies)
- Seeking sustainability through processes that link livelihoods and conservation (understanding & managing trade-offs)
- Enhancing the role of local government in legitimizing district level processes
- Need for historical perspective and strategy to build on past experiences

Capacity development objectives

Form, enhance capacity of variants of grassroots institutions and provide support to harness broader collective action for rural service delivery;

Improve Enterprise development within the context of conservation, and community level asset accumulation.

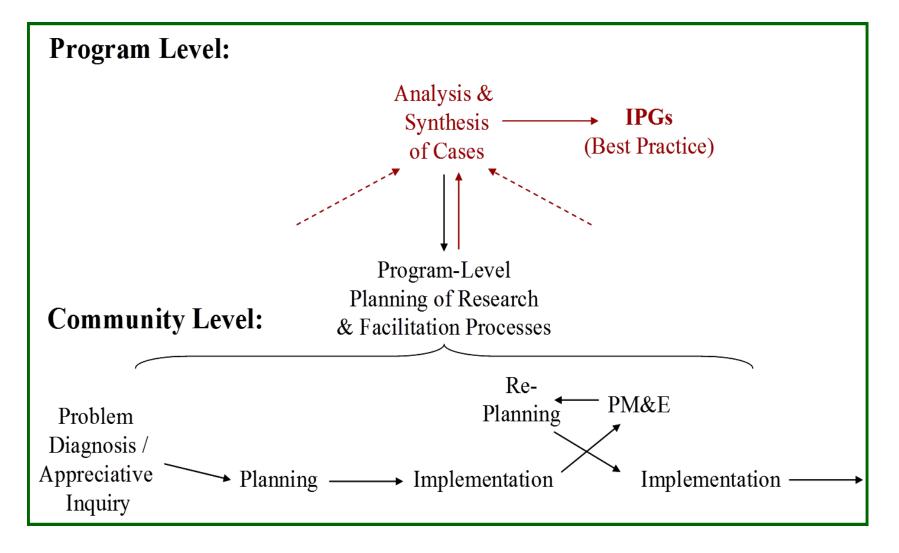
Build a regional institutional platform for knowledge sharing, scaling up, and representation/participation in SLM policy making and development processes in East Africa.

Methodology

- Site selection criteria
 - attributes such as presence of and linkages to existing projects;
 - poverty incidence levels; presence of marginalized and vulnerable groups;
 - degree of natural resource degradation; presence of strong local leadership;
 - stock of social capital; market opportunities and accessibility.

Methodology

Multi-Level Action Research & Learning



Project Implementation

- an awareness raising meeting where site implementation teams were formed,
 - key contact person for each site, local government officers, extension workers, farmer representatives.
- A group maturity exercise, with the as the starting point in the development of the capacity needs assessment

| Site | Country | Number of Grassroots Rural Institutions Surveyed |
|-----------|----------|--|
| Embu | Kenya | 88 |
| Bungoma | Kenya | 124 |
| Masindi | Uganda | 45 |
| Kapchorwa | Uganda | 187 |
| Pemba | Tanzania | 86 |
| Lushoto | Tanzania | 53 |

The toolbox

 Appreciative enquiry ; stakeholders, learning processes and trainings, stakeholders, assets, strengths, weaknesses, opportunities and threats (SWOT), vision mapping, objectives and strategies

CAPACITY NEEDS ANALYSIS FRAMEWORK DESIGN

| | | Group Vision | | | | | | | | | | | | |
|---------------------------------|----------|--|------------------|----------------|------|---------|---------------|------------|-----------|-----------------|--------------|--------|------------------|--------------|
| | 1 | ↓ | | | | | | | | | | | | |
| | | Group Workplan | | | | | | | | | | | | |
| | 1 | ↓ | | | | | | | | | | | | |
| | | Capacity Needs Assessment and Workplan Development Workshop MAT Environmental Scan | | | | | | | | | | | | |
| | | Bottlenecks | Skills/Trainings | Maturity Score | Gaps | Threats | Opportunities | Weaknesses | Strengths | Skills/Training | Stakeholders | Assets | Group Objectives | Group Vision |
| Group Development | → | 2 | 1 | 1 | 1 | 3 | 2 | 1 | 1 | 1 | 3 | 3 | 1 | 2 |
| Enterprise Development | → | 3 | 3 | 3 | 1 | 3 | 1 | 3 | 3 | 1 | 1 | 1 | 1 | 1 |
| Platform Development | → | 3 | 3 | 3 | 1 | 1 | 1 | 3 | 2 | 2 | 1 | 1 | 1 | 1 |
| Enabling Environment Factors | → | 1 | 3 | 3 | 1 | 1 | 3 | 3 | 3 | 3 | 2 | 3 | 2 | 3 |

Thanks

